



**United Nations** Global Compact

# The CEO Water Mandate

## **Fifth Working Conference**

April 14-16, 2010

New York City

## MEETING SUMMARY



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Meeting summary prepared by the Pacific Institute, a non-profit sustainability policy research and advocacy center based in Oakland, California. ([www.pacinst.org](http://www.pacinst.org))





## Background

In July 2007, the UN Secretary-General in partnership with international business leaders and under the auspices of the UN Global Compact launched the CEO Water Mandate – an initiative established to better understand and advance water stewardship in the private sector. The Mandate is built upon six core elements considered to be critical in addressing corporate water management: Direct Operations, Supply Chain and Watershed Management, Collective Action, Public Policy, Community Engagement, and Transparency.<sup>1</sup>

Following meetings in New York City (March 2008), Stockholm (August 2008), Istanbul (March 2009), and Stockholm (August 2009), the UN Global Compact – with support from the Pacific Institute – convened the Mandate's fifth working conference on April 14-16, 2010 at the Permanent Mission of Germany to the United Nations in New York City. This workshop consisted of over 90 participants representing 28 endorsing companies, nine UN and government agencies, 16 civil society groups, and various other organizations. In addition to the multi-stakeholder working conference, the Mandate held three other events: 1) a meeting of the initiative's Human Rights Working Group (HRWG); 2) a seminar focusing on corporate water accounting methods and tools; and 3) an endorser-only planning meeting.

The multi-stakeholder working conference's overarching goal was to discuss, shape, and advance the initiative's three primary current workstreams/areas of focus:

- 1) Business engagement with water policy,
- 2) Corporate water disclosure, and
- 3) Water and human rights.

The conference sought to build on prior Mandate discussions, to further share learnings and experiences, and to determine how the initiative can move forward in regard to these topics. The discussion on business engagement with water policy centered primarily on discussing the near-final draft of the initiative's upcoming *Guide to Responsible Business Engagement with Water Policy*. The corporate water disclosure sessions explored current corporate reporting practice and potential areas for improvement, as well as emerging initiatives that provide frameworks and metrics for reporting. Finally, the segment on human rights discussed the potential for formal corporate policies on the human right to water, as well as how the Mandate specifically can help advance best practice on this topic.

The Mandate Secretariat recently conducted a survey of endorsers regarding their current practices relating to the human right to water. The Human Rights Working Group meeting provided an opportunity to discuss key themes and conclusions from this exercise, common and divergent approaches and practices, and possible ways the Mandate can advance its work in this space.

The corporate water accounting seminar brought together key stakeholders (i.e.; Water Footprint Network, Life Cycle Assessment practitioners, academia, civil society groups, and companies that have piloted water accounting methods) to discuss key issues relating to emerging practice and to identify opportunities for alignment and/or coordination.

The endorser-only meeting served as a forum for companies to: 1) digest feedback from the multi-stakeholder working conference, 2) decide on how the initiative should pursue further activities relating to existing workstreams, and 3) determine next steps on the Mandate's funding, recruitment efforts, potential partnerships, and future working conferences.

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<sup>1</sup> To learn more about the CEO Water Mandate and its six elements, go to: [http://www.unglobalcompact.org/docs/news\\_events/8.1/Ceo\\_water\\_mandate.pdf](http://www.unglobalcompact.org/docs/news_events/8.1/Ceo_water_mandate.pdf)

## Key Learnings and Outcomes

The working conference set out to garner an array of perspectives and opinions on material, water-related issues as a way to build companies' understanding and determine how the Mandate can best promote good practice. There were many concepts and sentiments for which there was widespread agreement amongst different endorsers, civil society organizations, and other stakeholders. At the same time, many of the discussions revealed emerging or controversial issues for which there is a need for further discussion. Below is a summary of the key learnings as well as the major outcomes and next steps that resulted from the meeting. A more detailed description of outcomes and next steps can be found in the Endorser-only Meeting Proceedings section.

### **Responsible Business Engagement with Water Policy**

The primary goal of the one-day discussion on policy engagement was to garner feedback on the near-final draft of the Mandate's upcoming *Guide to Responsible Business Engagement with Water Policy* that could inform the final product. While reception to the draft was quite positive, there were many (sometimes conflicting) suggestions on how to strengthen the Guide. Some participants believed that the *Guide* must be more succinct in order to be palatable to upper-level corporate executives, while others felt that it should provide more specific tools and mechanisms (in addition to the conceptual underpinnings) to operationalize engagement on the ground. A number of participants expressed their desire to include case studies throughout the document, while others wanted a tool or set of metrics that allowed companies to assess their performance with respect to engagement. More specific recommendations are provided later in this summary.

The Mandate Secretariat clarified that while the Guide hopes to be applicable to many types of private actors (e.g.; private water service providers, investors) and even provide some value for public and civil society entities, it is primarily intended for private water users and wastewater dischargers.

### Outcomes and Next steps

- The Guide will be broken into two products: 1) a short "Framework" (5-10 pages) that outlines the business case, key concepts, and principles to be completed in advance of the UN Global Compact Leaders Summit in June 2010, and 2) the full length *Guide* (~50 pages) where the framework is explained in greater detail.
- The full-length document will incorporate many of the "practical how to" suggestions heard during the New York meetings and add a number of case studies to illustrate the concepts and best practice highlighted in the *Guide*.
- The Mandate Secretariat will work with the Policy Engagement Working Group (PEWG) to determine which suggestions to address and how. Any and all endorsers are welcome to participate in the PEWG meetings held by teleconference.

### **Water and Human Rights**

Discussions at the HRWG meeting and multi-stakeholder conference indicated that a majority of companies are only beginning to explore explicitly the full implications of a human right to water for corporate policy and operations. Many companies expressed a need to better clarify what specifically is expected of them on this front and how they might follow through with those expectations on the ground. These meetings demonstrated a wide range of ways in which companies publicly express their position on this issue and of how they in practice address the issue. Companies also provided a range of perspectives on the nature of an appropriate corporate commitment and obligation in this arena.

Stakeholders noted that many countries have formally established a human right to water and expect private businesses operating within their jurisdictions to respond accordingly. For this reason, many see operational guidance as a timely, valuable, and necessary tool for business. Stakeholders, however, also



expressed the belief that social expectations, rather than legally derived obligations, are the primary driver compelling business attention to this need.

The discussion suggested that the Mandate can provide value in this space by exploring current corporate practice and determining the state of play with respect to emerging frameworks and guidance (e.g., the Ruggie Framework). Such an analysis would inform where the Mandate can provide the most value in terms of operational guidance and whether it collectively takes a public position on a human right to water.

#### Outcomes and Next steps

- The Mandate Secretariat will draft a white paper with background information that will inform both how individual companies and the Mandate itself can advance action on the human right to water.

#### **Corporate Water Disclosure**

An analysis of the first round of endorsers' *Communications on Progress – Water* found that companies have largely improved their reporting since the Mandate's *Water Disclosure 2.0* study, particularly with respect to process-oriented Mandate elements, such as Collective Action, Public Policy, and Community Engagement. It also showed a broad trend toward understanding and reporting on the local context in which water is used, as well as how water is used across a company's value chain.

Discussion on disclosure expectations of companies demonstrated that there are a number of emerging initiatives that have relevance/bearing for companies' water-related disclosure. Mandate endorsers acknowledged the value of these initiatives, but also identified the breadth and diversity of reporting requirements to be a potential problem. Many participants suggested that the Mandate could play a role in shepherding harmonization and convergence in this field.

#### Outcomes and Next steps

- The Mandate will form a Water Disclosure Working Group (WDWG) comprised of Mandate endorsers to determine how the Mandate can facilitate the identification of material issues and the development of user-friendly metrics and criteria, as well as encourage harmonized and complementary disclosure frameworks and approaches in the field.

#### **Other Outcomes**

- The Mandate agreed to expand Steering Committee terms to two years and stagger them so that only half of the Steering Committee is replaced every year.
- The Steering Committee will draft a letter to non-reporting endorsers, co-signed by any interested Mandate endorsers, encouraging them to fulfill their requirements and become more actively engaged with the initiative.
- The Sixth Working Conference of the CEO Water Mandate will be held in South Africa in the fourth quarter of 2010, likely in mid-November.



## Multi-Stakeholder Working Conference Summary

### Broad Goals

The CEO Water Mandate's working conferences are meant to shape and advance the initiative's work by:

- Discussing key issues relating to each focus area and identifying common interests among companies, governments, civil society groups, and local communities regarding how companies (and the Mandate) can address them;
- Garnering feedback from Mandate endorsers and key stakeholders on the scope, objectives, and approach for outputs in existing workstreams/focus areas; and
- Exploring options for increased participation and engagement in the initiative and its workstreams by key stakeholders from the private sector, government, civil society, and other interests.

### Specific Objectives

Discussions at the Fifth Working Conference explored the initiative's three current workstreams: 1) water policy engagement, 2) water and human rights, and 3) corporate water disclosure, aiming specifically to:

- Discuss the recent draft of the *Guide to Responsible Business Engagement with Water Policy*, garner feedback on how to improve it, and understand where common ground exists on key substantive elements;
- Better understand the landscape of corporate water disclosure initiatives and what is expected of corporate water-related reporting;
- Elucidate the state-of-play with respect to corporate water disclosure and evaluate how the Mandate can best contribute to improved practice;
- Discuss the potential drawbacks and pitfalls of various formal corporate policies on the human right to water;
- Identify and discuss options for how the Mandate can best advance good corporate practice on water and human rights.

### Welcoming Remarks from Mr. Power, Ambassador Wittig

The workshop began with an introduction from Gavin Power (Head, CEO Water Mandate) who recapped the origins, mission, and approaches of the UN Global Compact and CEO Water Mandate. He provided background on the Mandate's previous meetings, actions, and research leading up to this meeting and outlined broad goals for the initiative moving forward.

Ambassador Peter Wittig (Permanent Representative of Germany to the United Nations) followed by welcoming attendees to the Permanent Mission of Germany and noting Germany's ongoing support of the UN Global Compact. Dr. Wittig stressed the urgency of water and sanitation issues across the world, as well as the importance of developing solutions to these problems in multi-stakeholder settings.

### Introductions and Event Orientation from Mr. Greenwood

Meeting facilitator Rob Greenwood (Ross & Associates) then provided an overview of the working conference agenda and ground rules<sup>2</sup>, as well as a brief introductory exercise to better understand the different sectors represented in the room. Mr. Greenwood emphasized that - though under contract with the Pacific Institute - he is a neutral third-party who has no stake in the outcome of decisions and whose main role is to ensure that discussion is on topic and balanced.

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<sup>2</sup> The ground rules for the meeting are summarized in Appendix B.

## First Day Sessions

The first day of the working conference focused exclusively on the Mandate's policy engagement workstream. Specifically, it sought to garner endorser and stakeholder feedback on the recent draft<sup>3</sup> of the upcoming *Guide on Responsible Engagement with Water Policy* to ensure that it is readable and concise, the business case is realistic and persuasive, the core principles are appropriate and well-defined, and that the operational guidance is cogent and applicable on the ground, among other things.

### Review of the Guide to Responsible Business Engagement with Water Policy<sup>4</sup> (Session 1)

Jason Morrison (Globalization Program Director, Pacific Institute) and Stuart Orr (Freshwater Manager, WWF- International) provided an overview of the current draft of the guide, including its objectives, timeline, key concepts and principles, a brief summary of an operational framework for engagement, and potential pitfalls of and barriers to engagement. They also described the business case for engagement, introducing the concept of shared risk. The two noted that the structure of the Guide has been changed significantly from the annotated outline to improve the flow and readability of the document.

### Contextualizing Water Policy Engagement (Session 2 & 3)

The following two sessions provided an opportunity for panelists from endorsing companies and consultancies to present their respective approaches and activities related to policy engagement and reflect on the implications of their experiences on engagement specifically for water and sanitation. Both sessions were concluded with discussion sessions that allowed the audience ask questions and express their own views. Discussions indicated substantial opportunity exists to improve companies' understanding of policy engagement processes and their implications, signaling a strong need for tools and guidance to support and assure responsible engagement.

Some of the key comments on the current state of corporate engagement with public policy were:

- Companies generally do not have established policies or protocols for engagement,
- The growing interconnectivity among sustainability issues (e.g., water, carbon, energy, etc.) poses challenges from a policy perspective; messaging these issues in a coherent fashion is difficult.
- Corporate engagement can be quite effective at facilitating communities' and civil society's ability to influence responsible public policy.
- Many governments may be skeptical of private companies and not welcome engagement efforts; signaling the need to invest in developing trust-based relationships as crucial to effective and responsible engagement.
- Engagement often occurs through trade associations and other membership organizations over which individual companies have limited influence; companies may well be held responsible for their individual actions as well as those of the organizations of which they are members.
- There is great potential for companies to advance sustainable water management through supporting the financing of good infrastructure projects.
- Corruption is one of the biggest barriers to engagement. Determining how to enact change in the absence of political will is crucial.

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<sup>3</sup> To read the draft in full, see:

[http://www.unglobalcompact.org/Issues/Environment/CEO\\_Water\\_Mandate/public\\_comment\\_water\\_policy.html](http://www.unglobalcompact.org/Issues/Environment/CEO_Water_Mandate/public_comment_water_policy.html)

<sup>4</sup> For more details on the *Guide*, see Morrison's and Orr's slides here:

[http://www.unglobalcompact.org/docs/issues\\_doc/Environment/ceo\\_water\\_mandate/5th\\_Working\\_Conference\\_NYC\\_2010/Morrison\\_Orr.pdf](http://www.unglobalcompact.org/docs/issues_doc/Environment/ceo_water_mandate/5th_Working_Conference_NYC_2010/Morrison_Orr.pdf).

#### **Engaging with Governments and Other Stakeholders on Issues Relating to Community Access/ Human Right to Water (Session 4)**

This session, featuring panelists from leading civil society organizations on human rights, explored why companies have a stake in the human right to water and how they can contribute to meaningful solutions through engagement. Comments firmly established that fulfilling the human right to water is the responsibility of governments and indicated that companies are responsible for proactively ensuring that they do not infringe upon this right. Panelists, however, also indicated that this does not preclude companies from adopting measures that support governments' efforts to fulfill the human right to water.

Many participants asserted that there is no “one size fits all” solution to supporting the human right to water. Strategies vary substantially depending on social, economic, environmental, and political context, even as local stakeholder engagement was identified as a universal component of human rights engagement and impact assessment. Discussion further indicated that engagement efforts must also invariably consider whether they are empowering or disempowering vulnerable communities and whether they are making companies more or less accountable for their impacts.

The human right to water was discussed further on day two. A summary of this conversation can be found on pages 10-11.

#### **Reflections on the Draft Policy Engagement Guide (Sessions 3 & 5, Facilitated Discussion)**

The final panel session of the day featured stakeholders from civil society, intergovernmental organizations, and the public sector sharing their impressions of the Guide, followed by a facilitated discussion. Panelists shared their thoughts on the Guide's applicability to industrialized and developing nations alike, the usefulness and appropriateness of the principles, and the potential for engagement to lead to undesired and inequitable outcomes, among other topics. Comments suggested a general comfort with the direction of the *Guide*, and that it represents a positive “paradigm shift” in how companies envision their role in water sustainability. Many believed, however, that the draft could be improved by further elaborating on how to operationalize principles and concepts and assess performance.

Throughout the first day, endorser and stakeholder panelists had many suggestions for how the *Guide* could be improved, including the following.

- The Guide could provide more clarity on exactly what appropriate public and private roles are with respect to water management and how companies can respect these roles.
- Further guidance is needed on how companies can engage proactively; companies must know how to identify, consider, and address problems before they become crises.
- The operational framework/practical guidance for engagement must be more explicitly linked to the principles of responsible engagement and sustainable water management.
- The Guide could include a framework or metrics through which to monitor and assess performance as a way to foster continuous improvement.
- “Sustainable water management” is an often ambiguous and undefined concept.
- Case studies exploring how engagement concepts and practices play out on the ground could make the Guide more user-friendly.
- More specific tools and mechanisms with which companies can operationalize engagement principles and concepts would help make the Guide more practical.
- Guidance on how to communicate and message engagement activities is needed to facilitate internal alignment and external support.
- It is not clear whether the Guide is – or is intended to be - useful for situations when companies are already experiencing a water crisis and fighting for survival and/or operate in a combative water management context.
- The business case for engagement must be more strongly articulated.
- The current focus on risk avoidance could be better balanced with opportunity acquisition.



- More emphasis needs to be added to the role of the agriculture sector.

### **Day One Closing Remarks**

Power and Morrison concluded the day by thanking participants for their active participation and insightful feedback. Morrison noted an underlying tension in the comments heard between the desire to include guidance that is detailed and able to be implemented on the ground and to make the Guide succinct and readable. He suggested that perhaps the Mandate can build on the Guide in the future with stand-alone tools and further guidance. He also expressed support for the many calls to ground the Guide with case studies and to include guidance for communication engagement.

### **Second Day Sessions**

The second day of the working conference focused on the Mandate's other two workstreams: 1) corporate water disclosure, and 2) water and humans rights. The discussion on disclosure explored emerging tools and initiatives that inform the expectations of companies with respect to disclosure, as well as the metrics and methods they use to report. It also used an analysis of Mandate endorser's *Communication on Progress: Water* reports to better understand current and emerging practice in this field. The last session focused on the potential for corporate policies and public commitments on the human right to water and how such policies might be applied on the ground.

### **Assessing Current and Emerging Practice in Corporate Water Reporting (Sessions 6 & 8)**

Much of the first half of the day was spent reviewing recent studies from Ceres and the Pacific Institute/CEO Water Mandate that analyze current and emerging practice in corporate water reporting. These studies illustrated marked progress in the sophistication and depth of reporting practices, but also illustrated that there is still much need for improvement. Study findings suggested room for improvement in several broad areas, especially qualitative reporting on issues such as risk, stakeholder engagement, policy engagement, supplier performance; and materiality assessment.

Some of the common threads and key comments in these sessions were as follows.

- Water reporting is most effective when water data are assessed at the regional level and in the context of the environmental, social, and political realities of those regions.
- Reporting on supplier water use and discharge is essential to a meaningful assessment of risk, yet is currently quite limited for most companies.
- Engaging with stakeholders from various sectors and contexts is necessary for companies to understand material issues and make sure that their reporting is meaningful and effective to a broad audience including investors, civil society, and affected communities.
- An explanation of how water risks are assessed, addressed by key policies, and integrated into governance and management systems throughout the company hierarchy is important for stakeholders' assessment of companies.
- When discussing process-oriented actions (e.g., community projects, policy engagement), companies can be more explicit about their role, financial commitments, and outcomes.

The discussion indicated a broad sentiment that these practices are quickly transitioning from being aspirational goals to a baseline expectation of companies by investors and civil society alike.

### **Launch of Carbon Disclosure Project's Water Information Request (Session 7)**

The next session provided an overview of the Carbon Disclosure Project's (CDP) recent draft of its "Water Information Request" – an attempt to facilitate meaningful reporting through defining standard measures and performance benchmarks for corporate water use. CDP believes improved harmonization of metrics will allow investors, companies, and governments to assess risks and impacts, and ultimately improve their decision-making. The CDP Water Information Request is divided into three primary components: 1)



water management and governance, 2) risks and opportunities, and 3) water accounting. This framework expands upon current practice by explicitly requesting not only water data, but also qualitative information on how companies assess related risk and implement response actions. The information request is also notable in its emphasis on putting water data in a regional context, particularly into water stress and political conditions, and for data collection and risk assessment throughout the supply chain.

The first version of the CDP Water Information Request was released in April 2010. Companies have until July 31, 2010 to submit their disclosure to CDP. The initial draft will be refined and expanded every year. There will be no scoring or ranking of responses until 2010; the first three years are designed as an opportunity for companies to demonstrate leadership, share best practice, and build capacity.

### **Update on the Operational Framework Being Developed by the UN Special Representative on Business and Human Rights (Session 9)**

Next, Michael Wright - Legal Advisor to the UN Special Representative for Business and Human Rights – provided an update on John Ruggie’s efforts to elucidate expectations and processes for the private sector with respect to human rights. Wright focused specifically on Ruggie’s recent work to define an operational framework for how to implement key values and principles on the ground, particularly the corporate duty to “respect”. He stressed that “respecting” human rights does not imply actively fulfilling or protecting them, but rather ensuring that a company does not infringe upon human rights by means of a proactive due diligence process. Wright identified four key components in this process:

1. Formulating a corporate human rights policy to state commitments and response strategies,
2. Assessing actual and potential impacts on human rights,
3. Integrating response strategies throughout the company, and
4. Tracking and reporting performance.

Wright concluded his remarks by encouraging companies to adopt formal corporate policies on human rights as a way to signal to key stakeholders their intent to follow the Ruggie Framework. At the same time, he cautioned that detailed policies make companies accountable for their future actions on this issue, so that creating a robust and actionable commitment is crucial.

### **The Merits, Pitfalls, and Realities of a Formal Company Policy on the Human Right to Water / Facilitated Discussion (Session 10)**

The final session of the multi-stakeholder working conference explored formal company policies on the human right to water. This discussion included thoughts on advantages and disadvantages of such policies from the perspective of several panelists from companies, civil society, and the investment community. Following this panel was a facilitated discussion among all participants on how the Mandate can move forward with its operational guidance relating to water and human rights.

Though the discussion suggested general comfort with the broad ideals associated with the Ruggie Framework and respecting the human right to water, many endorsers expressed an uncertainty about how to implement this on the ground and therefore expressed hesitation relative to making a formal policy. They also noted a resistance from their company’s legal departments to create corporate policies/ commitments on this due to the possibility of litigation. In contrast, some of the NGO stakeholders noted that there have been no cases of litigation based on companies’ lack of alignment with their human rights policies. Participants also discussed the relationship between a rights-based approach and a corporate policy. While some considered a rights-based approach as an alternative to a formal corporate policy, others thought them both to be inextricably linked to effectively respecting a human right to water.

The panelists and facilitated discussion revealed many merits and pitfalls to articulating a formal company policy on the human right to water, such as the following.

#### Merits / Advantages:

- Raising global awareness
- Facilitating internal alignment on values and approaches
- Influencing other companies to act
- Promoting accountability
- Enhancing reputation among affected communities, NGOs, and consumers
- Encouraging stakeholder feedback on process for respecting human rights
- Operational framework is undefined; lack of clarity on the nature of commitment
- Difficult to translate principles into actionable practices
- Limited process for demonstrating adherence to policy and/or mitigating adverse impacts
- Once commitment is made, there is no turning back

#### Pitfalls:

Meeting participants expressed a wide range of views with respect to how the Mandate should pursue its workstream on human rights. Comments suggested a widespread support for the Mandate developing guidance on the principles and operational framework of a rights-based approach to water consistent with the Ruggie Framework. Many comments, however, suggested a need for further discussion on whether and how the Mandate should take a position on the human right to water. Some advocated for the Mandate itself to collectively acknowledge the human right to water and call other companies to action. Others believed that too little is known about the operational implications of such an acknowledgement to be able to act at the moment.

#### **Day Two Closing Remarks**

Gavin Power concluded the two-day multi-stakeholder working conference by thanking all participants for the lively and informative discussion, as well as their ongoing support of the Mandate. He also once again thanked Baosteel, PepsiCo, Syngenta, The Coca-Cola Company, and Unilever for sponsoring the event. He noted that this was the best-attended of all of the Mandate's conferences. Finally, Power suggested that despite the initiative's great progress, it still has much work to do, particularly in taking the ideas and practices explored in these meetings and implementing them into companies' every day practices from upper management to their facilities and suppliers.

## Endorser-only Meeting Proceedings

### Objectives

- Digest proceedings from multi-stakeholder working conference
- Determine immediate next steps/action items/workstreams
- Decide future governance matters and funding of the initiative
- Determine the date/location/subject of the initiative's next convening

### Summary

For the last meeting of the three day event, representatives from endorsing companies convened to digest prior discussions and come to some conclusions about ways forward on the three workstreams, next steps for the initiative, and governance issues. Endorser comments suggested the working conference was quite helpful in providing a forum for discussion and debate on emerging issues, and as a way to learn about new concepts and business practices.

#### Responsible Business Engagement with Water Policy

While endorsers noted that reception of the draft *Guide* was overwhelmingly positive, it will be a great challenge to determine which comments to implement and how. Furthermore, endorsers noted a need to address the tension between a desire for a comprehensive and actionable guidance document and one that is easily readable and palatable for all audiences. In order to ensure that both these needs are met, it was agreed that the *Guide* should be broken into two outputs:

- 1) A short "Framework" (5-10 pages) that outlines the business case, key concepts, and principles to be completed in advance of the UN Global Compact Leaders Summit in June 2010, and
- 2) The full length *Guide* (~50 pages) where the framework is explained in greater detail.

To be completed before the Mandate's next working conference (Q4 2010), the full-length document will incorporate many of the "practical how to" suggestions heard during the New York meetings and add a number of case studies to illustrate the concepts and best practice highlighted in the *Guide*.

Though Mandate endorsers have agreed to the two version format in order to provide more time to develop the full *Guide*, there is still a limit to how much can be realistically incorporated into the longer document due to concerns of readability and length. For this reason, the Mandate Secretariat will work with the Policy Engagement Working Group (PEWG) in the coming weeks to determine which suggestions to address and how. Any and all endorsers are welcome to participate in the PEWG meetings held by teleconference.

#### Water and Human Rights

Acknowledging that endorser understanding of their responsibilities with respect to the human right to water is evolving and remains unclear, the Mandate agreed to develop a white paper to help distill core issues and contextualize the Mandate's work on this topic. The Mandate's recent survey of endorser practice on water and human rights will be used as one of the paper's key data sources. Specifically, this analysis will:

- Describe the nature of the challenges and the contours of the debate regarding businesses acknowledging/respecting the human right to water;
- Summarize the state-of-play with regard to endorser practice and illustrate examples of existing corporate policy and approaches on the topic;
- Provide an overview of related public policies and emerging frameworks and guidance that establish expectations of companies on this issue; and
- Identify options for how the Mandate can advance this workstream.



Ultimately, the goal of this white paper is to provide background information that will inform both how individual companies as well as the Mandate itself can address this issue going forward.

#### Corporate Water Disclosure

Discussion at this meeting demonstrated that there are a number of emerging initiatives that have relevance/bearing for companies' water-related disclosure. Mandate endorsers acknowledged the value of these initiatives, but also identified the breadth and diversity of reporting requirements to be a potential problem. For this reason, the Mandate agreed to form a Water Disclosure Working Group (WDWG) comprised of Mandate endorsers to determine how the Mandate can facilitate the development of effective and user-friendly water-related reporting, as well as encourage harmonized and complementary disclosure frameworks and approaches in the field. As a first step, the Mandate Secretariat will draft a Terms of Reference for the WDWG and solicit endorser participation in the group. The draft Terms of Reference will be the subject of discussion/finalization at the WDWG's first meeting.

#### Governance

The Mandate agreed to expand Steering Committee terms to two years and stagger them so that only half of the Steering Committee is replaced every year. In order to make these changes, the two Steering Committee representatives from each geographic region will decide amongst themselves which of the two will stay for a second year and which will step down at the end of this first year. If both wish to stay on for a second year, it will be decided by a simple coin toss. The five new Steering Committee members will be nominated as per usual.

Some Mandate endorsers noted that several endorsers have failed to fulfill their *Communication on Progress: Water* requirements and are therefore at risk of delisting. In response, the Steering Committee will draft a letter to these endorsers, co-signed by any interested Mandate endorsers, encouraging them to fulfill their requirements and become more actively engaged with the initiative.

#### Next Conference

The Mandate also agreed to hold the next multi-stakeholder working conference in South Africa in Q4 2010. The tentative themes for this conference are "Community Engagement" and "Watershed Management", two of the six commitment areas for the CEO Water Mandate. The Mandate will also hold a half-day public informational session at World Water Week 2010 in Stockholm the morning of September 9th.

## Appendix A: List of Working Conference Participants

Affiliation	Name	Title
<b>Endorsing Companies and Prospective Endorsers</b>		
Baosteel Group	Zou Kuan	Director, Environmental Protection and Resource Utilization
Calvert Group	Bennett Freeman	Senior Vice-President of Sustainability Research and Policy
Calvert Group	Julie Frieder	Environment Analyst
The Coca-Cola Company	Denise Knight	Global Water Initiative Manager
The Coca-Cola Company	Greg Koch	Director - Global Water Stewardship
The Coca-Cola Company	Lisa Manley	Group Director – Sustainability Communications
Coca-Cola Enterprises	Lucinda Hensman	CSR Manager
Coca-Cola Enterprises	Maury Zimring	Manager, Corporate Responsibility & Sustainability
Dow Chemical Company	Lisa Schroeter	Director – International Policy
DSM	Robert Claasen	Director Corporate Manufacturing
DuPont	Dawn Rittenhouse	Director of Sustainable Development
Firmenich	David Shipman	Group Vice-President Corporate Compliance
Firmenich	Johan Firmenich	Project Manager Sustainability
GlaxoSmithKline	Robert Hannah	Project Director
H&M	Henrik Lampa	Environmental Supply Chain Manager
Hindustan Construction Company Limited	Mangesh Gupte	Deputy General Manager – Corporate Social Responsibility
Intel	Todd Brady	Corporate Environment Manager
Intel	Michael Jacobsen	Director of Corporate Responsibility
Levi Strauss & Co.	Michael Kobori	Vice President, Supply Chain Social and Environmental Sustainability
Molson Coors Brewing Co.	Michael Glade	Director, Water Resources and Real Estate
Monsanto	Brian Lowry	Deputy General Counsel
Nalco	Mike Bushman	Division Vice President, Communication & Investor Relations
Nestlé S.A.	Christian Frutiger	Public Affairs Manager
Netafim	Naty Barak	Director of Global Corporate Responsibility
Netafim Irrigation	Michael Dowgert	AG Marketing Manager
Nike	John Frazier	Director of Considered Chemistry
PepsiCo, Inc.	Dan Bena	Director of Sustainability, Health, Safety, and Environment
PepsiCo, Inc.	Liese Dallbauman	Senior Manager - Water Stewardship
Pfizer	Elizabeth Auda	EHS Stakeholder Relations
PricewaterhouseCoopers	Jon Williams	Partner
Reed Elsevier	Terry Martin	Manager, Socially Responsible Supplier Program
Sasol	Martin Ginster	Environmental Advisor
Siemens Water Technologies	David LoPiccolo	Director of Food & Beverage Sustainability
Stora Enso	Johan Holm	Vice-President, Environment
Syngenta	Juan Gonzalez-Valero	Head of Corporate Responsibility



Unilever	John Temple	Environmental Sustainability Director
<b>Affiliation</b>	<b>Name</b>	<b>Title</b>
Unilever Water Research Unit	Helen Fox	Water Expertise Group
Veolia Water	Sandy Sullivan	Vice-President Government and Industry Relations
Veolia Water	Pierre Victoria	Head of International Institutional Relations
Westpac Banking Corporation	Manuela Adl	Senior Vice-President & Chief Operating Officer
<b>UN Agencies and Government Officials</b>		
GTZ	Ulrike Pokorski da Cunha	Advisor – International Water & Infrastructure
Spartanburg Water	Rebecca West	Deputy General Manager
UN Development Programme	Andrew Hudson	Cluster Leader, Water Governance Programme
UN Development Programme	Juerg Staudenmann	Water Governance Advisor for Europe/CIS
UN Environment Programme	Guido Sonnemann	Programme Officer for Innovation and Life Cycle Management, Sustainable Consumption and Production Branch
UNICEF	Andrew Parker	Senior Adviser, Water Sanitation and Hygiene WASH (Emergencies)
UN Principles for Responsible Investment	Christina Zimmerman	Manager
UN-Water	Frederik Pischke	Advisor
US AID	Sharon Murray	Freshwater Programme Manager
US State Department	Hugh Dugan	United States Delegate to the United Nations
<b>Civil Society</b>		
Ceres	Brooke Barton	Manager
Conservation International	Marielle Canter Weikel	Center for Environmental Leadership and Business
Environmental Defense Fund	Laura Harnish	Regional Director
Institute for Human Rights and Business	John Morrison	Director
The Nature Conservancy	Jonathan Kaledin	Blue Water Certification Program Director
The Nature Conservancy	Karin Krchnak	Senior Advisor, International Water Policy
The Nature Conservancy	Brian Richter	Co-Leader Global Freshwater Team
Oxfam America	Chris Jochnick	Director, Private Sector Team
Transparency International	Donal O'Leary	Senior Advisor; Board Member, Water Integrity Network
WASH United	Virginia Roaf	Senior Researcher
Water Advocates	John Oldfield	Executive Vice President
WaterAid	Duncan Wilbur	Private Sector Engagement Advisor
Water Witness International	Nick Hepworth	Senior Consultant
Wessanorth	Garth Barnes	Environmental Coordinator – Northern Areas
World Resources Institute	Piet Klop	Senior Fellow, Markets & Enterprise Program
WWF International	Stuart Orr	Freshwater Manager
WWF International	Diederik Vismans	
WWF – China	Chaode Ma	Head of China Freshwater
WWF – US	Nicole Tanner	Program Officer – Market Transformation
WWF – US	Andrew Murphy	Director of Enterprise Planning



Affiliation	Name	Title
<b>Other</b>		
Alliance for Water Stewardship	Matthew Wenban-Smith	Co-Secretary
Business for Social Responsibility	Linda Hwang	Manager of Environmental Research and Innovation
Columbia University	Upmanu Lall	Director, Columbia Water Center
Columbia University	Daniel Stellar	Assistant Director – Columbia Water Center
Future 500	Bill Shireman	President and CEO
Future 500	Matt Turner	Director, Global Stakeholder Initiative
Harvard University (Kennedy School of Public Policy)	Christine Bader	Advisor to the UN Special Representative for Business and Human Rights
International Water Association	Kristina Kohler	Director – North America Office
Irbaris LLP	Will Lynn	Senior Consultant
LimnoTech	Greg Peterson	Vice-President
	Nicky Black	Independent Corporate Citizenship Research Consultant
Pegasys Strategy and Development, Ltd	Guy Pegram	Managing Director
PricewaterhouseCoopers	Nick Shufro	Manager, Sustainability and Climate Change
Quantis	Jon Dettling	Director, US
Quantis	Sébastien Humbert	Project Leader, ISO Working Group on Water Footprinting
Stockholm International Water Institute	Andreas Lindstrom	Project Officer
Stockholm International Water Institute	Johanna Sjodin	Coordinator for Issues re Water & Corp Responsibility
University of Arkansas	Marty Matlock	Area Director, Center for Agricultural and Rural Sustainability
University of Twente	Maite Martinez Aldaya	Researcher on Multidisciplinary Water Management
Water Environment Federation	Matthew Ries	Managing Director, Technical & Educational Services
Water Stewardship Initiative	Michael Spencer	Co-Director
<b>Financial Sector</b>		
Carbon Disclosure Project	Marcus Norton	Head of CDP Water Disclosure
Interfaith Center on Corporate Responsibility	Leslie Lowe	Energy & Environment Program Director
Interfaith Center on Corporate Responsibility	David Schilling	Program Director, Global Corporate Accountability
Robeco	Lara Yacob	Senior Engagement Specialist
Sustainable Asset Management	Marc-Oliver Buffle	Equity Analyst
<b>Event Organizers</b>		
UN Global Compact	Gavin Power	Head, CEO Water Mandate
UN Global Compact	Haeryong (Sony) Nahm	Office Management, Environment
Ross and Associates	Robert Greenwood	Vice President and Principal
Pacific Institute	Jason Morrison	Globalization Program Director
Pacific Institute	Peter Schulte	Research Analyst





## Appendix B: Meeting Ground Rules

This CEO Water Mandate event offers a unique opportunity for endorsing companies and other key stakeholders to share approaches and emerging practices, build relationships and explore partnership opportunities, and generate enthusiasm and consider near-term strategies for this new public-private initiatives.

The day and a half-long Working Conference offers a mix of panel presentations and discussion opportunities intended to foster in-depth deliberations. Rob Greenwood, as facilitator, is a neutral third party with no stake in the outcome of discussions. Although under contract to the Pacific Institute, he works for the process and treats all meeting participants as equal “clients.” The organizing team puts forward the following streamlined ground rules for all meeting participants to guide conference deliberations:

- *Active, focused participation:* The conference is structured to encourage an active exchange of idea among participants. Voicing these perspectives is essential to enable meaningful dialogue. To that end, we encourage attendees to actively participate in the discussion and fold in their perspectives throughout the day.
- *Constructive input:* Meeting participants are encouraged to frame observations in terms of needs and interests, not in terms of positions; opportunities for finding solutions increase dramatically when discussion focuses on needs and interests.
- *Respectful interaction:* Conference participants are encouraged to respect each other’s values and legitimacy of interests. We further ask that you strive to be open-minded and integrate participants’ ideas, perspectives and interests.
- *Focused comments:* Our 1.5 day-long agenda is ambitious, with many topics to cover and numerous perspectives to fold in. Given the limited time, we ask that participants keep their comments as succinct and focused as possible and help ensure that all participants have an opportunity to contribute their thoughts to the dialogue.
- *Chatham House Rule:* To encourage free discussion, workshop participants are welcome to share discussion points with other non-attendees, but comments are not to be attributed directly to particular speakers or entities (Chatham House Rule).
- *Other:* To keep the meeting as effective as possible, we ask that you honor the following meeting management aspects:
  - Keep cell phones off
  - Use scheduled breaks, as possible
  - Wait to be recognized before speaking
  - Avoid side-discussions

We look forward to a productive dialogue and thank you for your participation.